Parallel Architecture Hardware and General Purpose Operating System Co-design

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Göttingen, 2018-07-10

Abstract

Because most optimisations to achieve higher computational performance eventually are limited, parallelism that scales is required. Parallelised hardware alone is not sufficient, but software that matches the architecture is required to gain best performance. For decades now, hardware design has been guided by the basic design of existing software, to avoid the higher cost to redesign the latter. In doing so, however, quite a variety of superior concepts is excluded a priori. Consequently, co-design of both hardware and software is crucial where highest performance is the goal. For special purpose application, this co-design is common practice. For general purpose application, however, a precondition for usability of a computer system is an operating system which is both comprehensive and dynamic. As no such operating system has ever been designed, a sketch for a comprehensive dynamic operating system is presented, based on a straightforward hardware architecture to demonstrate how design decisions regarding software and hardware do coexist and harmonise.

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1 Origin

To increase the performance of computers, a continual series of design improvements has been implemented. Since physical limits inhibit perpetual acceleration of single processing units, deployment of multiple processing units in parallel was adopted. There are various approaches to a generic solution, the ideal being to solve as many distinct computational problems as possible.

1.1 Performance

Computers have been invented to perform calculations automatically, and faster than humans can do [1936kz]. Performance of computers has been increased continuously ever since. *Moore's Law* postulates some linear gradient for continuously increasing complexity of integrated circuits: "*The complexity for minimum component cost has increased at a rate of roughly a factor of two per year*" [1965gm], later revised to "doubling every two years" [1975gm]. However, complexity is not performance, and integrated circuits are not computers. Modern computers are made up of one or more integrated circuits, with the basic paradigm in hardware design being unchanged since the very beginning of digital electronic calculation machine history. The architecture designed by J. Presper Eckert and John Mauchly [2008gh] – widely known as *von Neumann architecture* [1945jn] – is still the base for most computers today (see [1987tr], p.417): A single processing unit accesses a single main memory to store both data and code.¹

Still, in the early days, two substantially different categories of computers have been developed. Precursors for large machines, *mainframes*, are military projects for ballistic and cryptographic calculations [1973br]. In contrast, microcontrollers have been developed to allow increasingly complex algorithms in machine control units. Later, mainframes have been miniaturised, while microcontrollers have grown more complex, and eventually both development paths have merged, the Motorola 68000 – introduced in 1979 – being one of the first processors powerful enough to run a work station, yet suitable for embedded control projects.

Usually, the measure for performance is specified in basic operations per time, e.g. $MIPS^2$ or FLOPS (floating point operations per second), where

¹The *Harvard architecture* (see [2011hp], appendix L) is based on separate memory for data and executable code. It has often been used with DSP based systems to increase memory access throughput. Compared to *von Neumann architecture*, performance at most differs in a factor of two, a constant, so it is neglected subsequently.

²The term MIPS is used in its original sense – million instructions per second – through-

a basic operation is equivalent to the execution of some processor machine instruction. Given ideal conditions, these processor performance values are possibly matched by overall system performance. Ideal conditions may be found where computers are used for highly specialised tasks, such as super computers built for number crunching, or embedded controllers in automation.

With general purpose computers, though, things are different, as usage conditions vary widely. Certainly, application performance for several scopes has increased, e.g. achievable quality of animated video sequences synthesised in real time is much higher than some fifty years ago.³ On the other hand, for several areas, increase in application performance is much lower than increase in processor performance over the same period of time. E.g., hypertext rendering carried out by a web browser takes about the same amount of time now as it did some ten years ago, although processor performance has increased substantially. Even worse, there are tasks that take substantially longer on some current personal computer than the equivalent operation took on an average late seventies home computer: While booting an Apple II computer took some half second, whereof most of the time the machine spent generating a sound, time to operational ready state (i.e. login prompt) for a current work station may well be some half minute.

1.2 Limits

This is where *May's Law*, though not quantitatively proven, turns out to be true: "Software efficiency halves every 18 months, compensating Moore's *Law*" [2007dm1]. ⁴ One might argue this is polemic, but even then software complexity and performance requirements will increase, not in implementation, but for algorithmic and quantitative reasons.

out this paper. However, MIPS as a measurement unit has often been misused, e.g. by including heavy optimisation by the compiler into benchmark results, thus pretending superior performance where in reality much less instructions have been executed (see [2011hp], appendix L). But even when MIPS is calculated according to its literal meaning, counting the instructions executed per time, instructions differ widely in their functionality from one processor design to another, so two processors with equal MIPS rate may differ substantially in the performance they deliver. Even with all these considerations taken into account, the MIPS rate is merely a theoretical upper bound, as administrative tasks will consume part of the available performance, and for generic applications it is rare to exactly match the basic design of a computer system.

³The first computer animated motion picture ever has been synthesised on the soviet $E\Theta CM-4$ in 1968: http://www.etudes.ru/ru/mov/kittie

⁴Actually this observation is not new, it is known as Jevons' paradox: "economy of fuel leads to a great increase of consumption", and "an improvement of the [steam-] engine, when effected, will only accelerate anew the consumption of coal" [1866wj]

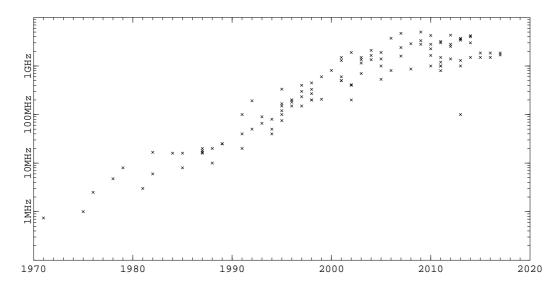


figure 1: core clock input frequency ⁵

As long as the basic architecture of computers is not changed, continuously growing application performance needs can be compensated by increasing processor and memory performance.

Unfortunately, there are physical limits: "Because information cannot travel faster than the speed of light, the only ways of performing a computation more quickly are to reduce the distance information has to travel, or to move more bits of information at once. Attempts to reduce distance are eventually limited by quantum mechanics" (see [1991tw], p.5). Moving more bits at once in a single memory computer increases the amount of die area needed for data bus connections, thus further limiting the extent of functionality. Not only is there a minimum size for structures to work, but also a lower limit for production of these structures, though new lithographic processes are able to push this limit further. Thermal dissipation is a problem, where energy consumption is concentrated in circuitry of descreased size. These limits processor industry has approached around 2003 (see [2011pm], p.3f). Limits to processing speed are reflected by stagnation of previously increasing core clock frequencies, see figure 1. However, an improved version of a processor with a faster core clock frequency does not necessarily indicate increased performance, as the same operation may need more clock cycles to complete than with the previous design, e.g. along a pipeline. Dividing the clock frequency by the

⁵The figures are made up of the characteristic values of various different architectures and processors, including Intel 4004 and 8086, Motorola 68020, IBM POWER6+, Freescale iMX6, ARM Cortex-A7, Intel XEON Phi 7290, and Samsung Exynos 8895.

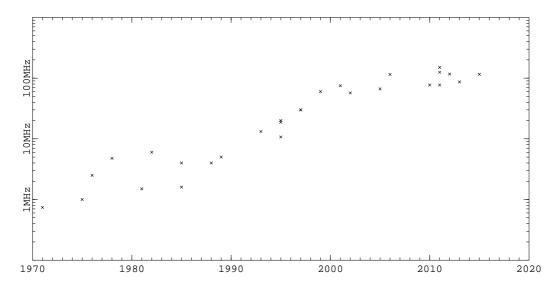


figure 2: clock frequency per pipeline length ⁵

pipeline length reveals the true technical limit to completely perform a single operation, see figure 2. The thermal dissipation limits have been reached around 2005 (see [1996hv], p.55). The limit in structure size ultraviolet light based production has reached around 2012, using 32nm lithography technique, see figure 3. Further reduction in structure size may be possible through advanced technologies, e.g. extreme ultraviolet (EUV) lithography

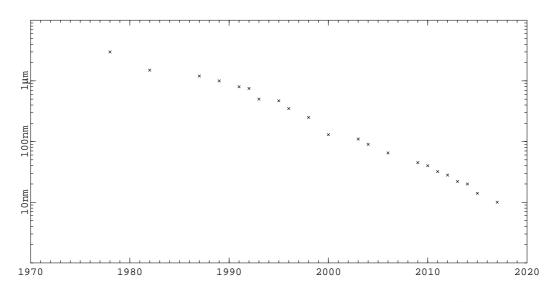


figure 3: most advanced lithographic technology in use ⁵

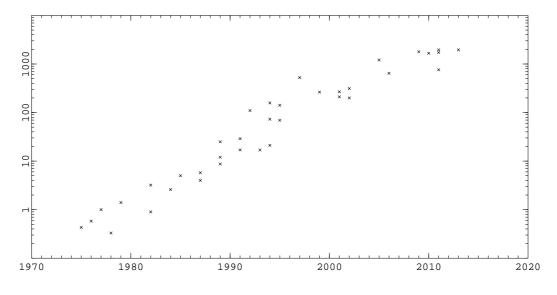


figure 4: performance per core (MIPS)⁵

[1998jb] [2014bg]. Eventually, these limits prevent further increasing core performance, see figure 4.

1.3 Transparent Structural Optimisation

Additionally, up to this point, various transparent structural optimisations have been applied, none of which yields more than a fixed ratio improvement:

- Optimised instruction set encoding, shorten instruction words either generally (e.g. byte code) or for frequently used instructions (e.g. Xtensa, XMOS) to reduce memory access load. However, shorter instructions will encode less functionality and thus on average will result in longer instruction sequences.
- The opposite approach to optimising the instruction set encoding is to use long instruction words (VLIW) encoding multiple operations per word, which at runtime are executed in parallel [1983jf]. However, VLIW processors are not transparent from the point of view of the compiler, as it has to anticipate instruction scheduling to exploit the processors inherent parallelism.
- Simplified specialised processing units (e.g. DSP, DMA), using less circuitry for a task, results in faster instruction execution.
- Complex specialised processing units (e.g. FPU, GPU), accelerate distinct computations through higher degree of hard wired integration.

• Instruction pipelining, splits up a single instruction execution into sequential stages, so different stages of successive instructions can be handled simultaneously. This way, it may happen that two subsequent instructions are executed at the same time, though not at the same stage of execution, with dependencies between these two instruction, e.g. the second instruction needs the result of the previous one as an input, before the result is readily available. A common solution for this hazard is to block execution of the second instruction (e.g. on Intel i860 [1992in]), or more advanced, to add short cut circuitry for all possible constellations of instruction sequences to provide the following instruction with the needed input as fast as possible [2007hh]. Another solution is to give up transparency, an early example being the Berkeley RISC processor [1981ps] that defines delay slots for branch instructions causing the program counter to be updated only after the next instruction following the branch instruction. Transparency is also partially given up on Intel i860 [1992in], where a floating point calculation is initiated by one instruction, but the result being ready at the end of the three stage floating point pipeline is stored into the destination register given by the third next instruction.

Specialised processing units and vectorised instructions are special cases of an enhanced instruction sets. More general approaches include the use of programmable gate arrays to provide configurable hardwired, and thus fast operations – e.g. the *instruction set extension fabric* (ISEF) on the Stretch Inc S6000 processor [2012hm].

1.4 Vector Processing

To achieve higher data processing rates, multiple data sets may be processed in parallel. Either a single instruction sequence is performed on all the data sets synchronously, which is called the *single instruction multiple data* (SIMD) approach, or each data set is handled independently from each other by a distinct process, called the *multiple instruction multiple data* (MIMD) approach, according to *Flynn's taxonomy*⁶ [1972mf]. What Flynn

⁶Flynn's paper is about effectiveness of different computer designs at that time, and what has been adopted as his taxonomy is only a fraction of some classification described. As a sequel, other authors state that Flynn's "classification scheme … is too broad", it is "a classification scheme by broad function rather than a classification of the design" [1988hj]. Next to introducing "Shore's taxonomy" which subdivides only the SIMD architectures into different classes – and thus is equally incomplete – they propose "an algebraic-style structural notation, formalising the functional units" [1988hj]

	SISD	SIMD	MIMD SMP	NUMA DSM		
	SISD	SIMD	SMP	DSM	NoRMA	
execution units	1	n				
control units (cores)	1			n		
memory units		1	n		n	
address spaces (nodes)	1				\overline{n}	

called MIMD is equivalent more or less to what today is known as SMP (see section 1.6). Additional architectures have emerged since, see table 5.

table 5: Computer architectures gross classification

With vector processing, each data set is an array of data words, the maximum length of the array defined by the hardware vector size. Vectorised instructions perform the same operation on each single word in a vector, where implementations differ in whether words are processed simultaneously in an array of execution units, or one after the other in a pipelined execution unit. SIMD essentially is equivalent to vector processing (see [1987wg], p.322), even for machines that are constructed differently, e.g. the Connection Machine [1985dh]. "SIMD are very good at some things, but inefficient at others" (see [1991tw], p.7), because the design is suitable only for algorithms that work on multiple data sets synchronously in parallel using the same identical instruction sequence for each of these sets. Under optimum circumstances, this method does scale up to the size of the vector, but for general purpose applications, this is rarely achieved, because only a limited category of algorithms lends itself to vectorisation.

1.5 Asymmetric Multiprocessing

Further, various asymmetric multiprocessing solutions are used widely – though most of them usually are not referred to as such – providing auxiliary processors for specialised tasks:

- direct memory access (DMA), an address driven data movement processor (e.g. Zilog Z8410 DMA controller [2001zi])
- floating point unit (FPU), and graphics processing unit (GPU), examples for complex special purpose coprocessors
- digital signal processor (DSP), occasionally additionally available onchip (e.g. Texas Instruments OMAP L138 [2009ti])

- other specialised coprocessors, e.g. the Cell BE featuring eight *"syner-gistic processing elements"* [2005cr]
- peripheral control units, to handle various peripheral interfaces, occasionally even microcode programmable (e.g. PRU on Texas Instruments OMAP L138 [2009ti])

1.6 Symmetric Multicore

About the same time industry reached the limits in structure size reduction, development of multicore processors started to fill the gap, with the POWER4 by IBM being *"the first non-embedded* [multicore] *microprocessor"* commercially available around 2001 [2011cs]: Multiple processing units simultaneously execute instruction streams one each. In 2004, Intel stopped single core development in favour of multicore [20041f].

Designed for symmetric multiprocessing (SMP), the computer is now capable of independently executing multiple instruction sequences in parallel. However, all the processing units still access one single main shared memory, and thus constitute a single node. "Shared memory tends to become the governing system bottleneck in cases where it happens that many processors try to access the same memory at the same time" (see [1987wg], p.323). There are strategies to reduce this problem, large local cache memories being the most common, and mandatory to reduce access to main memory itself (see [2007hv], p.22), see figure 6. But with the number of cores growing further

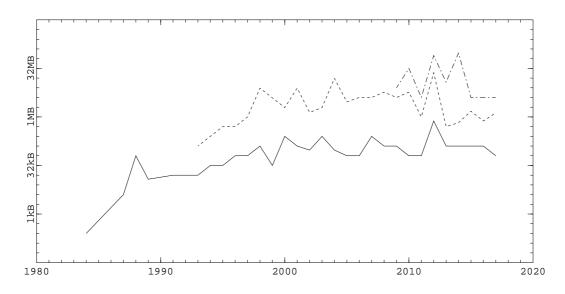


figure 6: 32/64bit CPU caches size (1st, 2nd, and 3rd level)⁵

memory communication will increase further, too.⁷

Besides, shared memory needs synchronisation methods to resolve resource access conflicts, e.g. locking through *semaphores*, or *transactional memory*. Both increase system complexity, and do not scale [2014yb].

To optimise die area utilisation, cores may share some of their units, e.g. the execution unit, to improve the benefit from its stages at all times.⁸ Apart from timing differences they cause, shared execution units are transparent to software.

1.7 Multinode Computer

Single node computers are inherently ineffective: "Almost none of its billion or so transistors do any usefull processing at any given instant" because most "transistors are in the memory section of the machine, and only a few of those memory locations are accessed at any given time", and "the bigger we build machines, the worse it gets" (see [1985dh], p.4).

A measure for this imbalance is given by the *capacity access time ratio*, which is the quotient from available *storage capacity* in a system and the average *access time* to it, a value that has increased – and thus worsened – by a factor of ten in less than a decade ever since 1950, see table 7.

year	1950	1965	1980	2000
bit/s	10^{7}	10^{9}	10^{11}	10^{13}

table 7: capacity access time ratio, according to [2007hv], p.22

Whereas the multicore approach increases the ratio of processing capacity against amount of memory, it does not solve the memory bottleneck issue, rendering the improved ratio largely useless.

Attempts are made to circumvent the single bottleneck by splitting the shared memory into sections, *distributed shared memory* (DSM), providing multiple, separate busses from the cores to memory [2002c1]. In theory, and for special applications, this approach might mitigate the bottleneck, but not

⁷Actually, cache memory has been introduced prior to the advent of multicore systems: Clock rates for CPUs have doubled about every two years, while access rates for DRAM have doubled about every six years. Around 1985, rates have been equal, but the discrepancy doubles every three years since (see [2007hv], p.20). With multicore systems, the problem is just worse.

⁸While Intel calls this feature *hyperthreading*, other manufacturers call it *simultaneous* multithreading. It is equivalent to the *skeleton processor* concept as described by Flynn (see [1972mf], p.958)

only introduces it quadratic cost in interconnection⁹, it also hides the memory topology from the software: Initially this might seem an advantage, because it relieves software development from being concerned with hardware details, but for generic applications it is ineffective, as it is not trivial for an operating system to optimally allocate memory sections by predicting access patterns. Research on how to further optimise allocation largely concludes that user applications need to support the operating system here [2008bc]. However, then allocation is transparent to the application and there is no good reason to not let the application completely assume control of data flow.

Provided no other transparent structural optimisations or local parallelism approaches are invented, to overcome the memory bottleneck, one will eventually have to give up shared memory, i.e. the single node multicore approach, and handle communication among processing units explicitly. With the *multinode* approach, memory is split up into portions per core, where each core has access to its local memory only, and data exchange from one core to another is handled explicitly using *communication channels* directly.

A number of systems have been designed according to the multinode approach, namely Transputer based systems, for an overview see [1991tw], p.8f, and p.354f. Each of the multiple nodes usually follows the basic *von* Neumann architecture design concept (see [1987bv], p.4). There has been discussion on which topology to choose to connect the nodes [1987wg], until Inmos announced a packet switching based design to overcome the restrictions of hardwired networks (see [1991tw], p.157).

Therefore, software design has to switch from memory based data structure algorithms to channel based data streaming algorithms. Where application software is manually tailored to fit the hardware, i.e. with special purpose computing, this is already largely the case, and for many problems, suitable parallel algorithms are obvious, such as searching and sorting [1988gr].

While being fundamental in super computing since 1972 [1972bd], apparently there is need for explicit parallel implementations of average applications, too: Originally designed to fulfil specific graphics computation tasks, graphics processing units (GPU) today are used to implement computationally intensive parts in scientific algorithms [2004fm][2006dd][2015mv].

For various problems, however, such as system operation or code compilation, parallel implementation may not seem straightforward initially. To best facilitate software implementation, "a general-purpose concurrent computer must provide a simple way for programs to be mapped on to the physical archi-

 $^{^{9}}$ The quadratic cost is worst case, and for the multinode approach it is the same, but it is transparent, i.e. topology handling to avoid access jam is not done by guesswork at operating system level, but explicitly at application level – and thereby potentially much more efficient.

tecture of the computer", and "this mapping must be achieved automatically if portable software packages are to be written" (D. May, in [1989eh], p.54). The complexity of this automatic mapping will directly correlate to the complexity of the entire system design, which thereby causes the algorithms that are needed to fulfil this mapping to be equally complex. This implies directly the need to keep the overall system design as simple as possible.

However, it does not imply to hide the overall system design from the application: For an application to benefit from a multinode design it would be counterproductive to assume a different design and let some underlying software layer translate access to resources as needed. It definitely is no good idea to simply apply existing programming models to a fairly different system design with least possible adaptions [2006e1]. It is obvious that algorithms for a multinode design will look different from those for single node computers, so porting tools to multinode computers essentially means rewriting them. Without doubt, this will be expensive, and maybe this explains why "we are still some way from having good standard toolsets [...] on parallel computers" (see [1991tw], p.355). Without system software research responding to fundamental progress in computer architecture, though, this progress will be of little value [2000rp].

2 Review

The predominating approach for concurrent computing today, shared memory SMP, usually comprises a set of features to optimise performance. These features need to be reviewed for usefulness with the multinode approach.

2.1 Shared Memory

In a system with multiple nodes there is no need to support multiple cores per node additionally on top of it, because the scheme for inter-node communication implicitely serves for inter-core communication as well. Shared memory – an extra scheme for inter-core communication – would unnecessarily introduce additional complexity, so it can be avoided altogether, resulting in a design with only one core per node, the local memory model. When a large number of nodes is provided, then the amount of memory per node may be proportionally smaller, as more nodes may contribute to the algorithmic needs of some application.

2.2 Cache

Introduction of cache memory did help to reduce the negative impact of the CPU-to-memory-gap, that emerged around 1985, when CPU frequencies increasingly exceeded DRAM memory access frequencies (see [2007hv], p.20f). This gap already exceeds a ratio of 1:100 long since (see [2008bc], p.3).

As long as the combined memory, consisting of a slow memory and a cache, is transparent to the core that accesses it, using a cache does not cause harm. This is almost always true for transparently addressing data, but unfortunately it is not true for the timing behaviour of the combined memory. This may not be a problem for some applications, but as soon as timing is an issue, the use of cache memory spoils determinism.

In the latter case it might be preferable to deliberately distinguish between direct access to fast local memory on the one side, and explicitly accessing larger amounts of slow memory on the other side. This way DRAM is no longer the main implicitly addressed memory resource, but fast local memory is. DRAM, if needed at all, may be an external resource, access to it handled by a dedicated process, and consequently cache synchronisation, bus snooping, and the like are not a topic anymore.

2.3 Synchronisation

As long as different processes are allowed to access common resources simultaneously, some means of synchronisation needs to be in place to avoid conflicts such as race conditions.

Without shared memory, however, the only common resources available are distinct processes, access to which is available through channel communication, which in turn provides implicit synchronisation. As a result, no further locking mechanisms need to be implemented at all, neither in software nor in hardware. This includes schemes like *semaphores* (see [2001ed]) as well as *transactional memory*.

For any external resource, one single process shall be responsible for all access to it. This way synchronising access to it is reduced to synchronisation in channel communication, which again is implicit.

2.4 Time-Shared Multitasking

On systems with only one or a low number of cores, execution of multiple processes is performed by means of time-shared scheduling, using a single processing unit to run multiple processes, usually alternating in a time-sliced manner. Virtual addressing is used to both avoid memory fragmentation and address conflicts, and to pretend to supply more random access memory than actually is available. With message passing parallel computers, the need for time-shared scheduling is not evident, as long as there are enough cores to provide each process with one core. Otherwise, stale processes could be swapped out in much the same way as memory portions of idle processes on a single node multitasking machine are swapped out to disk.

With enough cores to provide one dedicated core for each process in the system, there is no need to share cores among processes. Consequently, support for time-shared multitasking is not needed. It is abandoned altogether in favour of dedicated core usage.

2.5 Interrupts

Usually, interrupts are used to handle external events asynchronously on a core engaged otherwise. This is necessary where the core is not fast enough to handle the events through busy polling on a machine that does not provide a single dedicated core for each single event, or for a group of related events (see [2001ed], p.28). The concept of interrupts had been introduced in 1957, and it was immediately obvious it would wreck the processors deterministic behaviour [2001ed]. On a machine with cores enough to provide one for each group of events, and supposed these cores are fast enough, there is no need to disrupt an executing process to handle external events.

To minimise latency from event occurence to its handling, a wait instruction is introduced, that allows the sequential execution of instructions to stall until an event – out of a set of previously configured events for this occassion – occurs (see [2010dm], p.25).

Note, that it is essential that the wait instruction is capable of waiting for a set of events, not just a single event. Since the core that handles the event is dedicated to exactly this task, stalling the executing of instructions does not obstruct any other task.

This way, the concept of interrupts is no longer needed. As a result, since "the interrupt mechanism turned the computer into a nondeterministic machine with a nonreproducible behaviour" (see [2001ed], p.13), to abstain from it means to regain determinism.

2.6 Exceptions

Exceptions are used in an otherwise sequential thread of instructions to handle – as the name says – exceptional situations. This may be a special result of an instruction, e.g. division by zero. However, with instructions always returning useful results no matter what the parameters were, exceptions can be made superfluous. The division instruction for example might take dividend and divisor, and return division result and remainder and additionally some flag. Alternatively, the division instruction might be implemented as some kind of branch instruction, that branches on zero divisor. Or it may simply return an undefined result on zero divisor, assuming that case has been handled explicitly prior to division.

For channels that shall handle packetized data, i.e. besides ordinary data words software needs to transmit and detect control tokens – e.g. *end-of-packet* token as used with SpaceWire [2008es] – reception of the right type of token may be anticipated either by a special instruction, or by an additional configuration option to the wait instruction: Wait for either type of token, then jumping to different locations depending on the token type.

Non predictable or fatal exceptions (e.g. bus fault) might cause an *exception message* be sent to an *exception handler*, which is another process (identified by its port number). The former process may simply be stopped, asking for external activity to handle the exceptional situation.

Eventually, with no time-shared multitasking, no interrupts, and no exceptions disrupting the control flow of a process, context switches are superfluous altogether – except for the start of a new process that needs an initial context setup. To go without context switches not only simplifies overall system design, but also saves significant runtime cost where register files would have to be stored and loaded, and cache tables flushed to avoid security flaws (see e.g. [2018si]).

2.7 Privileged Mode

No context switches also means no context changes: A process started in non-privileged mode can never gain privileges: There are no syscalls.

The only way for a non-priviliged process to have a privileged task done is to send a message to a privileged process and ask it to perform the task. Possibly, that latter process needs to check the authorisation of the originator to decide. The authority information plaited into the originating port number could solve the problem: The most simple case is a bit in the port number indicating a privileged process sending from it.

2.8 Peripheral I/O

Peripheral I/O modules may be looked at as auxiliary specialised processors – either hardwired or programmable. Where the core is fast enough to handle a peripheral interface directly accessible through GPIO lines, there is no need

for another dedicated peripheral interface controller. Latency is reduced using the wait instruction, to allow for immediate response upon occurence of an event [2009mm]. There is no need for extensive peripheral circuitry, except for just a few configurable shift registers. Moreover, driver software is freed from the burden to handle complex – and often obscure – subsystems, as it now has direct access to the transmission lines. This type of direct interface handling is good for a large variety of interface types, including high speed data transfer interfaces, it has proven to cope with e.g. a 100 Mbit ethernet PHY on a 50 MIPS core [2012sb].

2.9 Asymmetric Multiprocessing

Specialised processors increase the performance for special use cases, usually executing in parallel to a conventional general purpose CPU. This is asymmetric multiprocessing, and for each specialised processor in a computer special software needs to be written. To decrease overall system complexity and cost, specialised processors shall be abandoned in favour of standard processors (see [2007dm2], p.10).

In a system with a single core per node and small local memory, there is not much use for DMA, because most larger data movements take place on internode channels. For other examples – DSP, FPU, GPU – the main difference to a standard processor are specialised instructions, commonly floating point or other complex arithmetic. It is not axiomatic to avoid instructions that support special operations, but by not restricting these to separate processing units, the overall system design can be kept symmetric.

2.10 Virtual Memory

Shared memory accessed by multiple processes using absolute addressing modes at instruction level requires virtual address translation to avoid both allocation fragmentation and address space conflicts.

However, these problems are not an issue on a system consisting of large numbers of cores with no shared memory, each with its own small portion of local memory instead. Memory allocation is process local: A core and its local memory are an indivisible unit, and from the system point of view memory is not allocated, but cores are.

It may be desirable though to swap processes to optimise locality in communication at runtime (see [1985dh], p.133f). Hardware support for virtual channel addresses may prove a useful feature in this context – i.e. determining and storing channel numbers at run time [2013os]. Furthermore, when resources are scarce – i.e. the system is out of cores, much like a shared memory system is out of memory – using a virtual channel address scheme makes it possible to stall and swap out processes rather than memory pages, eventually providing virtual resources in much the same way as with a virtual address space that is larger than the physical one.

Thus, simple flat memory process administration per node will be sufficient.

Still, multithreading may be implemented to a limited extent to increase flexibility in resource usage, which is similar to multiple cores sharing single stages of the execution pipeline by passing access to the stages around, thus avoiding stages to sit idle [2009mm]. To cope with memory fragmentation in this environment, an instruction set restricted to relative addressing is used, with all addresses relative to a set of base pointers, e.g. instruction pointer, constant pool pointer, data pool pointer. Avoiding absolute addressing altogether makes process memory relocation a rather simple task.

2.11 Power Management

Power management refers to two different domains: Internal power management, i.e. the core itself changing to a state of reduced power consumption, and peripheral power management, where peripheral interface controller circuitry is partially or completely switched off when not in use.

The latter is a topic only for external additional hardware, as internally there are no peripheral interface controller blocks. Switching off external circuits may well be left to explicit handling through driver software.

Internal power management consists solely in reduced clock frequency modes and thus reduced power consumption for a core, that is stuck in a wait instruction [2009mm]. As this state is entered automatically, there is no need for the software to take further action: Power management is implicit.

2.12 Cost

Though not strictly necessary, it might be desirable to have available some of the above mentioned features, as they might simplify handling the machine. However, by omitting unnecessary features the die area needed for the implementation of a single core is reduced, thereby increasing the potential number of cores per chip.

Another motivation to simplify the hardware design is software engineering cost: specialised circuitry needs dedicated software drivers, and additional hardware features need to be handled by software, even where they are intended to simplify overall system design. Even worse, different features may coincide, e.g. power management must be implemented again with every single peripheral driver, multiplying the extra cost for both features. As a consequence, potentially, "as the number of capabilities added to a program increases, the complexity of the program increases exponentially" [1970cm]. Replacing complex dedicated peripheral circuitry by direct access to the transmission lines may reduce cost in driver development substantially.¹⁰

Simplicity in software design is not only good to save cost, but also "for reliability simplicity is an absolute prerequisite" [1975ed]. Likewise, for security reliability is an absolute prerequisite. It is known that the number of bugs in large software systems directly relates to the size of the software (see [1979yc], p.370). On a shared memory computer, all system software on the single node adds up, yielding much higher complexity than programs on isolated nodes would do, hence "programming of a system in which the programmer has explicit view of memory is much more complicated and error-prone than the programming of message based systems" (see [1987wg], p.323). Consequently, to support software reliability, it is inevitable to keep the hardware design as simple as possible.

Moreover, choosing the most simple basic hardware design improves software portability, simply by reducing the number of features and special cases that would need to be handled porting the software. As "the successful exploitation of concurrent computers now depends more upon achieving software portability than upon any other single factor" (D. May, in [1989eh], p.54), simplicity is crucial for the success of any basic system design [1970cm], just like "a key property of the von Neumann architecture for sequential computers is efficient universality" [19891v].

3 Feasibility

How should a simple multinode design look like? What are its dimensions, and how does its order of magnitude relate to feasibility?

3.1 Sketch

From the collection of considerations it can be concluded, that three basic conditions hold for the design of an improved hardware architecture:

For one, to overcome the *active silicon imbalance* [1985dh], it must provide as many processing units as possible. Because a SIMD architecture does not

 $^{^{10}}$ E.g, the XMOS ethernet driver of 400 lines of code compared to a Linux kernel Intel e100 driver of 3200 lines of code – both written in C.

match arbitrary algorithms, and because the shared memory approach does not scale, it must be a message-passing multinode architecture.

Further, the design of the individual processing units, the cores, must be as simple as possible. In reducing their size in hardware implementation the highest possible number of cores will be available per chip. Furthermore, reduced complexity decreases cost for software development, maintenance, and portability [1996ed].

Lastly, the software used with it will "dynamically allocate and deallocate processors in the same way that a sequential program dynamically allocates and deallocates memory" [1989dm]. Because "dynamic allocation requires coarse grain parallelism" [1989dm], instruction wise forking and synchronising execution is not suitable. Instead, parallelising algorithms into separate processes matches the concept of allocatable cores, demanding channel based message passing. This essentially is communicating sequential processes [1978ch].

Because software will allocate processing units instead of just memory, thus starting processes, a program consists of an arbitrary, possibly variable or even unbound number of processes. This is analogous to a single node computer program allocating memory pages at will. As a consequence, the individual processes cannot allocate more memory at runtime, but have their static amount of memory assigned at process start time, together with the core to run on. Processes that need to allocate memory dynamically will have to allocate cores instead, running supportive processes, which in turn may or may not provide more functionality than just additional memory. This way, memory is not some passive resource, it is active memory. For implementation reasons, the amount of local memory the process has available, will be quite limited – to avoid undermining memory locality – but not necessarily fixed to some system wide constant value.

To achieve variable local memory size a region of common local memory may be shared among a set of processing units. This solution is implemented in XMOS XS1 [2007dm2], but it reintroduces all the disadvantages of shared memory. To reduce impact on software reliability, an MPU may be added to prevent access of one process to the memory section reserved to another process. E.g., the *Null Operand Parallel* processor implements implicit range checks to trap memory access violations [2016os1]. An advanced solution would be an MMU to assign the required number of memory blocks to a process at startup time. In conjunction with an externally driven (e.g. through the interconnect) process startup circuitry, it renders local software to control memory assignment superfluous (see section 6.2).

While instruction pipelining applied to a single core introduces either wastage or hazards, this is not the case where the execution pipeline is shared among several cores, each of the cores using only one stage of the pipeline at a given time. To sustain reliable process response time – and thus not loose the ability to handle port based peripherals – timing with the shared execution pipeline must be predictable, e.g. by using a deterministic round robin scheduler. The XMOS XS1 implements this restricted variety of a hazard free shared execution pipeline. Combined with fully hardware controlled memory assignment, the aspect of sharing memory and computational resources among multiple processes is no longer visible to software at all, eliminating any need for software supported resource conflict management.

Besides "many processors" the second basic requirement is "programmable connections" (see [1985dh], p.14f), as without programmable connections "the algorithm is designed to suit a particular configuration. This is satisfactory for embedded applications, where the configuration can be determined by the application. It is obviously unsatisfactory for a general-purpose computer" (D. May, in [1989eh], p.54). This requirement is easily met by programmable interconnects as found e.g. on XMOS XS1 processor chips [2009mm].

3.2 Size

The size of the execution unit that will be used in a parallel system design is as yet unknown, because no dedicated solution has been implemented in hardware so far. To obtain an estimation, numbers available for comparable designs are investigated, see table 8. Further it is assumed that for the execution pipeline a simple design of four stages is chosen, and that only a fraction of all resources will ever be active. Following this consideration, it is acceptable to plan one execution unit to be shared amoung eight processing units each.

design	transistors	logic cells	local memory bits
J1a [2015jb]		1200	64k
Motorola 68000	68k		-
Inmos T800	300k		32k
(OpenSPARC T1) [2013mf]		285k	192k

table 8: compact microprocessors key figures

Deduced from these numbers, it is assumed that a suitable processor, including execution pipeline, register banks, interconnect, and control logic, designed for shared use with eight processing units, will require no more than one million transistors. It is further assumed that a major portion of these transistors represents storage facilities, like register banks or routing

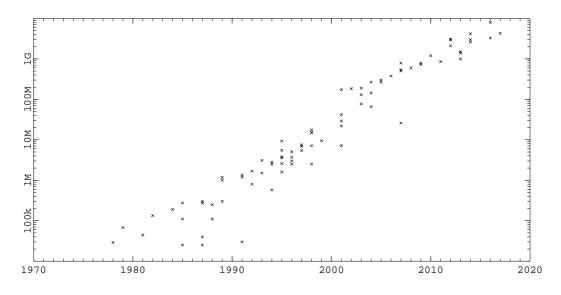


figure 9: chip circuitry size, CPU transistor count ⁵

tables, so half of it may be replaced by block RAM in an FPGA based implementation. To further simplify the estimation, 15 transistors are taken as an equivalent of one logic cell (see [1992bf], p.22). Assuming all local memory is CMOS SRAM, requiring 6 transistors per bit, plus fringe electronics, subsequent estimation is based on 8 transistors per bit in total. It is undisputed, that these assumption are very vague and suitable only for a rough feasibility estimation, particularly, because the number of transistors alone does not linearly correspond to the die area the design would need, as is explained comprehensively in [1989dm].

Recent advances in microprocessor manufacturing have shown it possible to cram 4 billion transistors onto a single processor chip [2016bb], see figure 9. While this may serve for an estimation of what can be achieved in theory, for an estimation concerning some early hardware prototype the characteristics of the largest currently available FPGA are taken as a basis: The Xilinx Virtex-7 XC7V2000T offers some 1954k logic cells and 46512kbit of block RAM [2017xi]. Based on these numbers, figure 10 shows a rough estimate for the number of cores that might fit on one chip, as a function of the size of local memory per core.

Further limits, like heat dissipation or needed number of pinout, have not been considered so far.

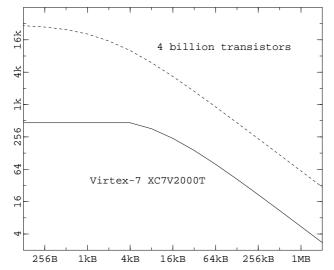


figure 10: cores per chip, depending on local memory per core

4 Operation

Cultivation of multinode computers for general purpose use, i.e. to run a wide variety of applications on it, asks for abstraction to a degree so as to relieve application software programming of considering hardware details in too much depth. The overall multinode design should not be hidden from the application, but quantitative characteristics should – like number of cores available, or the underlying network topology.

4.1 Abstraction and Management

An operating system is defined to be some basic software executing on a computer, providing arbitrary application software with resource management, and ideally with full hardware abstraction [2009sg]. This operating system turns the specific hardware implementation into a general purpose computer system, and allows to design application software in a hardware independent way.

Hardware abstraction is meant to standardise access to peripheral devices. Hardware abstraction in the sense of portability to a different type of computer is not the task of the operating system but largely the task of compiler tools. When coping with parallel computers, the question of how to organise parallelism is independent of peripheral devices, so the latter may be left out of the operating systems kernel part. Nevertheless, peripheral devices need to be controlled, so a set of processes for this purpose may be considered part of the operating system.

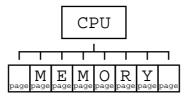


figure 11: global memory is a page-wise resource

| CPU |
|--------|--------|--------|--------|--------|--------|--------|--------|
| and |
| memory |

figure 12: local memory is part of a core-wise resource

Resource management is to fulfil an applications demand for processing capacity and memory space, and this is where parallel properties of a system inherently determine the algorithms to use.

As already described in [2013os], on a single node computer, system global memory is the main resource to administer, and it usually is portioned in memory pages, see figure 11.

On a multinode system with a single core per node however, the *process*ing units are the main resource, i.e. the cores, which constitute computing capacity together with local memory, see figure 12. Allocating dynamically these units instead of bare memory has been suggested earlier: "processing resources ... be allocated and deallocated as freely as memory" [1989dm].

The part of the operating system controlling processing capacity usually is called the *scheduler*. There are two purposes it may serve: One is *arbitrary process launch*, i.e. starting the execution of a random new process at system runtime. The other purpose is *multitasking*, i.e. controlling the execution of multiple processes at the same time. Not all operating systems do serve both purposes. On large computer systems in the 1960-80s common practice was *batch control*: There was no *multitasking*, but full processing capacity was allocated to a single process, and the next one would be started only when the previous had finished executing [1974as]. In embedded control, *static schedulers* are quite common, that do not support *arbitrary process launch*.

On computers that do not provide a dedicated core for each process to execute, multitasking is achieved by time sharing the processing capacity, through e.g. multiprogramming (see [2001ed], p.20).

An operating system is to be called *dynamic*, when it supports starting random numbers of new processes to work in parallel (or in a pseudo parallel manner) at runtime, i.e. when it supports both *multitasking* and *arbitrary* process launch.

An operating system is to be called *comprehensive*, when its resource man-

agement provides unified access to the full range of processing resources. Only then the computer can be utilised by standard applications, and thus independent of some front-end processor. E.g., for GPU accelerated systems this is not the case, as there is no native operating system support, but some library interface at application design level for explicit resource usage.

4.2 History

Computers being commercially available at first in the 1950s were expensive highend special purpose calculation machines, their market section being comparable to super computers today, but they were not supplied with an operating system. Based on the then new concept of interrupts, Dijkstra introduced concurrent control of peripherals to the Electrologica X1 in 1957, but there was neither multitasking nor dynamic job allocation (see [2001ed], p.15f). Though modern super computers may have some kind of operating system, usually it is not comprehensive, and definitely not suitable for average work stations.

Meanwhile, electronic components have been used in automation, simple processing units and microcontrollers complementing the range of parts used subsequently. Only hardware abstraction has been provided in this area for long, and static scheduling is still a common approach today.

It took until 1969, when Unix was developed, and subsequently became the first widespread comprehensive dynamic operating system for single node computers. Some thirty years later, in the late 1990s, derivates of Unix started to be used in automation, enabling unified software development for the two areas of computation machines, work stations and embedded control [2013cs].

Parallel computers based on message passing have been developed since the 1970s [1991tw], none of these being equipped with a comprehensive dynamic operating system up to now. In automation, systems consisting of thousands of microcontrollers passing messages to coordinate activities (*signalling*) are quite common, digital telecommunications being a prominent application (see e.g. [1990lt]). However, these systems are not equipped with comprehensive dynamic operating systems, either. Yet, regarding static scheduling, it has been described earlier as "natural to remove these restrictions" in favour of "dynamic resource allocation" [1989dm].

Up to now, explicitly parallel algorithms account for only a few specific parts of software, whereas "the two main arguments against the use of parallel computers today are that they lack software, and that they are difficult to program" (see [1991tw], p.9). Consequently, and because "the future of parallel computation may be strongly influenced by the extent to which efficient universality can be found and harnessed" [19891v], implementing the basics for some parallel operating system is the precondition for any application being implemented explicitly parallel in its entirety.

4.3 Related Work

There have been efforts to develop such an operating system.

Amoeba was designed as a Unix based multi machine cluster, i.e. running a full multitasking operating system on each single node [1990tr].

Barrelfish is not based on an existing operating system, but is designed for use with conventional hardware (x86_64 or ARM based), that provides large amounts of random access shared memory per processing unit, 430kB for the CPU driver alone [2009bb].

Plan 9 is a distributed operating system, designed for use with conventional work stations, and ported to a variety of processor architectures [1991pp]. It is a consistent further development of the basic concepts of Unix.

Helios was an operating system designed specifically to run on multinode hardware, namely Inmos Transputer (see [1991tw], p.297). Parts of its design are similar to the one presented here: The Process Manager and the Loader cover tasks of the scheduler, the dispatcher, and the loader (see section 5), but provide a variety of further functionality, like signal handling and real time clock control (see [1991ps], p.20). However, the minimum amount of memory per core is one megabyte (see [1991ps], p.14), limiting the total number of cores in a reasonable system setup to some thousand. Furthermore, parts of the operating system refer directly to the design of some frontend computer, so *Helios* is not comprehensive. Nonetheless, the overall design of *Helios* has been quite promising at its time.

The name "*Helios*" matches the idea of a distributed operating system quite well, so it is not too surprising that there are more projects that bear the same name: *Helios* was a research project introducing satellite kernels in heterogenous multiprocessing [2009nh]. Its memory consumption exceeds 32MB per node (see [2009nh], p.3).

Vortex is an experimental "event-driven multiprocessor operating system" run on Intel SMP hardware [2003kj].

Corey is a many core operating system research project experimentally run on 16 core x86_64 based SMP hardware with megabytes of cache available [2008bc]. Numbers on memory footprint are not available, except that kernel source exceeds 11000 lines of code, most of it written in C.

An exemplary list of five purposes of an operating system for multinode machines, as it is still widely accepted, is given in [1987bk], p.208:

- "Multitasking" is obsolete where each user process is assigned its dedicated core.
- "Channel multiplexing" shall be done in hardware.
- "Memory management" will not be needed as access for each process is restricted to the local memory of its core. The idea that a "process must have access to more memory space than physically attached to one processor" is a conclusion from the wrong assumption that a complete application program needs to run on one single node, or utilising shared memory employing global address space.
- "Load balancing" is a task indeed, though a secondary one, when it comes to optimising a given design.
- "Support of the basic data structures: ... Garbage collection for heavily parallel machines is not solved yet. Therefore it seems simpler not to share expressions among different processors" – this is very true, and as allocation refers to processing units, rather than memory, these processing units would be the items to collect.

Suggesting the invention of a "new model of computation", Sterling proposes a "new co-design cycle of all levels of the system software and hardware", explicitly including the operating system [2009ts]. This co-design is surely important, but there is not much sense in asking for the next model of computation, when for the second last model – communicating sequential processes – that co-design has not yet been performed. However, the paradigms to achieve this new model have each already been introduced separately, so all that is needed is to combine and implement them consequently, particularly a comprehensive dynamic operating system.

4.4 Requirements

To be utilised with a comprehensive dynamic operating system, a parallel computer system needs to fulfil some minimum requirements in size:

- The size of local memory per core must suffice to hold executable code of a single process and the amount of data it needs to store locally.
- The number of cores must suffice to run all programs system functionality and user applications – in parallel, where each program consists of a number of processes, and thus needs the corresponding number of cores. A lower limit for the number of processes that make up a

program is given by the overall memory need divided by the core local memory size.

The size of the executable code of a single process is comparable with the size of a subroutine in sequential programming. On average, it will be some kilobyte.

On current single node computers, four kilobyte is a convenient basic memory allocation size, called a *memory page*. It is directly comparable to the basic allocation unit of a parallel computer, a core and its local memory.

Both estimations combined imply that some eight kilobyte will be a suitable average size for a single cores local memory. Note that this differs by orders of magnitude from the gigabytes per core todays work stations provide.

Average single node work stations run some two hundred programs in parallel – though most of the programs are inactive most of the time. Programs substantially differ in memory size, simple system tools will do with just a few kilobytes, while large office applications may well use megabytes.

Instead of counting memory sizes of single sample programs, the overall memory utilisation of a single node work station is found to be some gigabyte. Division by eight kilobyte – the local memory size per single core – results in some 128 thousand cores per computer.

These numbers match research on parallel computing in the late 1980s, which has shown it useful to think in the range of "at least tens of thousands" (see [1991tw], p.5) up to "a million processors" (see [1985dh], p.5, and [1989dm], p.36), recent publications affirming that it is realistic to project "millions of processors" (see [2007dm2], p.2).

4.5 Consequences

As explained above, designing a massively parallel computer for general purpose use directly implies the need for an appropriate operating system to be implemented. On the other hand, implementing an operating system to be used with massively parallel computers requires hardware to run it on, be it real or simulated. Consequently, design of both hardware and software should be carried out simultaneously, comprising the following main tasks:

- Design and prototyping of adequate processor hardware
- Engineering of a parallel computer hardware
- Design and implementation of an operating system
- Implementation of application software

Realistic project scheduling asks to select the bare minimum from this list, i.e. use existing or simulated hardware, focus on the basics of an operating system, and the minimum set of tools to control it.

5 Implementation

5.1 Hardware

An incomplete list of multicore processors and computers gives an impression of the differences in local memory size, see table 13.

architecture	μP		local memory	cores per
			per core	computer
Greenarrays GA144	F18A	[2011ga]	64 word	144
Parallax	P8X32A	[2011jm]	2 kB	8
XMOS XC-2	XS1-G4	[2009mm]	8 kB	32
Tilera	TILEPro64	[2013tc]	64 kB^{11}	64
IBM PowerPC	POWER8	[2016bb]	512 kB^{11}	96
Ambric	AM2045B	[2006th]	1 kB	344
Kalray	MPPA2-256	[2016ki]	8 kB	256
Adapteva	E64G401	[2012ai]	32 kB	64

table 13: multicore processors key figures

Both F18A and P8X32A do not provide enough local memory per core. The number of cores on P8X32A is too low for even the minimum operating system test setup. TILEPro64 does not provide hardware based channel communication means. AM2045B has been discontinued 2012. For MPPA2-256, detailed information or an evaluation board is not publicly available. With 32 cores, XS1-G4 is suitable only for basic operating system tests, but it provides hardware based channel communication, and an appropriate amount of local memory per core. It lacks details in channel synchronisation – checking channel data availability is only implemented on channel input, so non-blocking output would need some software work-around – but basic tests can be done without.

The XMOS XC-2 computer was chosen for a first prototype, but this first approach has been cancelled later, because of the deficiencies described above, mainly inavailability of test hardware with more than 32 cores.

 $^{^{11}\}mathrm{on}\text{-}\mathrm{chip}$ L2 cache per core

Operating system basics have been implemented, the concept being similar to the one realised with the second prototype (see section 5.2), the main differences are:

- No boot code is implemented, toolchain provided initialisation is used instead
- Software is written in plain C [1978kr]
- Ethernet driver is implemented with IP/UDP [1980jp] and TFTP protocol [1992ks]
- UART driver and console
- No application software except a simple command interpreter and a simple system state inspection tool

Arbitrary process creation has been proven to be possible, and the concept of generic hardware based peripheral access has been verified and shown it possible to achieve full 100 MBit throughput on ethernet [2012sb].

Both implementation and tests also showed, that the overall concept of channel based communication between processes is suitable.

5.2 Simulation

To reduce the dependency on available hardware, a second prototype has been based on simulated hardware. For this purpose, a very simple processor has been designed, the *Null Operand Parallel* processor [2016os1]. Parts of its design are inherited from the XS1-G4 concept, namely hardware channel communication support and fixed round robin thread scheduling. For properties not relevant for the prototype the most simple solutions were chosen to reduce overall project complexity, e.g. by using a byte code based design.

To allow the simulated processor to be programmed using a high level language, a compiler is needed, and because it needs to be implemented anew anyway, a customised programming language – the *Guarded States Language* – has been presented, which combines concepts from different existing languages to support programming the prototype most smoothly [20160s2].

No peripheral drivers have been implemented, except for a simulated text console and an interface to allow reading and writing files from outside the simulated environment.

The processor implements four nodes – each capable of executing eight instruction sequences in parallel, i.e. eight cores per node – and one common

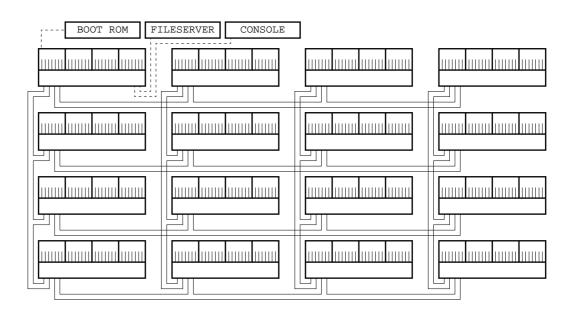


figure 14: 16 processor test setup

switch for channel communication both internally between the four nodes and externally to other processors.

A simple test setup involves e.g. 16 simulator instances, each of which simulates a processor consisting of four nodes and a switch. This sums up for a total of 512 cores. The single simulators are connected via simulated external links, i.e. data sockets (figure 14).

Only for the first simulator three peripheral lines are externally connected: A character stream input line, a corresponding output line, and a bidirectional connection to an external file server tool to allow access to files external to the simulation. Additionally, the first node is configured to accept the initial boot program via a specialised line connected to a boot ROM.

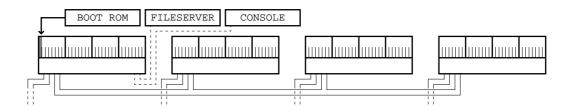


figure 15: initial boot program loaded into first node

5.3 Boot Code Supply

At startup time, each node executes a short first stage loader code snippet (see [2016os1]). On the first node, it reads the operating systems initial boot program from a simulated boot ROM, i.e. from an external file (figure 15). This boot program is composed of code to perform system initialisation (boot sequencing, processor enumeration, and routing table setup), provide peripheral access (console input and output, file server access), and runtime process creation support (process loader, dispatcher, and process schedulers)¹².

Once loaded into the first node and started as a single boot process on its first core, the boot program starts the processor enumeration process and the console driver. Then it starts four message distribution processes – one for each external link – which are needed to avoid message congestion (figure 16).

BOOT ENTIMEDATION	CONCOLE	DISTRIBUTE	DISTRIBUTE	DISTRIBUTE	DISTRIBUTE	_
PROCESS ENUMERATOR	CONSOLE	#0	#1	#2	#3	-

figure 16: first node on first processor during system initialisation

The latter is done on each processor that is started later on, not only on the first node (figure 17).

BOOT		DISTRIBUTE	DISTRIBUTE	DISTRIBUTE	DISTRIBUTE	
PROCESS	-	#0	#1	#2	#3	_

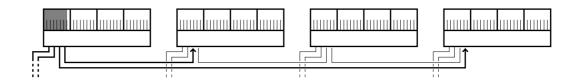
figure 17: first node on other processors during system initialisation

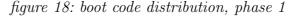
5.4 Boot Code Distribution

The boot program sends its own code over the external links, causing it to be accepted by the first stage loaders of its four neighbouring processors. It uses an exact copy of its own code with one single variation to allow the receiving node to detect that it is not the first node in the system (figure 18).

Not knowing the external links grid topology in advance, a node may receive initial code more than once, so it will subsequently discard any extra copies it will receive (figure 19).

 $^{^{12}\}mathrm{The}$ prototype implementation is less than 4 kB of executable code.





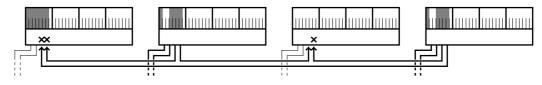


figure 19: boot code is discarded, when received again (\times)

Later during system initialisation, just before switching to routine system operation, the boot program sends a partial copy of itself – only comprising the scheduler process code – to the remaining three nodes local to the processor (figure 20). Both processor enumeration and routing tables are resources common to all nodes in a processor, so further initialisation is not needed on these additional nodes.

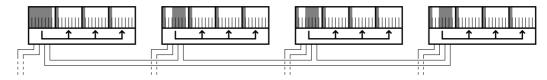


figure 20: scheduler code distribution

5.5 Processor Enumeration

Initially, routing tables are empty for all processors, and only the first node is aware of its identity. As the calculation of routing tables requires processors to be enumerated, the latter is undertaken first.

The processor enumeration process addresses all processors, one by one, starting at the first processor, causing each processor to contact its four neighbours – again one by one – and assigning numbers to them (figure 21). Note, that enumeration is done per processor, because the implicit numbering of the contained nodes and cores is predefined. This procedure requires the neighbouring processors to check with the contacting processor, and make sure they both know on which of the external physical links they are located with respect to the other processor.

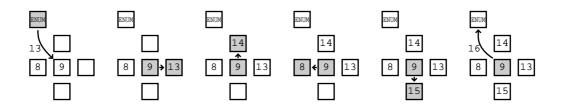


figure 21: processor 9 enumerating its four neighbours

While the contacting processor is able to send a message explicitly to one of its physical neighbours, the neighbouring – receiving – processor is not aware of the origin of the message. Therefore it will send a response to all of its four neighbours in turn, a message including the original contacting processors number as well as its own physical link number. Three of these neighbours will drop the message silently as they do not feel addressed, whereas the original contacting processor will detect the valid response, and finally inform the newly enumerated processor about which physical link corresponds to their relation. In doing so, it sets up a route between the two processors in question (figure 22).

Furthermore, as the processor currently in charge of enumerating its neighbours is either the first processor, or is reachable via processors that have been enumerated earlier, it is able to set up a route from the newly enumerated processor via itself all the way to the first processor. Simultaneously, the first processor is informed on how many processors have been assigned new numbers, so that these processors will subsequently be addressed by the enumeration process themselves.

The process of enumeration is continued until no more unenumerated processors are found. By then, all processors are able to send messages to the first processor, and the first processor is able to send messages to all of them, but the routing tables are incomplete in that they do not allow sending messages between arbitrary processors.

Obviously, the numbering scheme that will result from this algorithm is

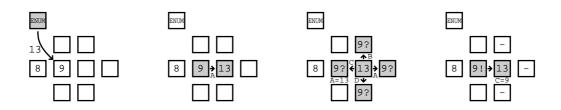


figure 22: processor 9 negotiating the link with its first neighbour

far from optimal. An optimised algorithm should choose an enumeration to reflect the physical network topology, so that optimisation of the resulting routing table, and thus reduction of routing table size, can be achieved.

5.6 Routing Tables

To calculate complete routing tables, the processor enumeration process addresses all processors again, one by one, causing them to send some path establishing message to all four neighbours, who in turn will propagate these messages to all neighbours, and so on, increasing the hop count of the message each time it is resent.

All processors keep track about the minimum distance by inspecting the hop count of the message, and thus find out the corresponding neighbour and link to store into the local routing table, for which the distance to the originating processor is shortest. The algorithm is similar to the second one presented by Dijkstra [1959ed], differing in that it proceeds asynchronously. This is the reason why each processor must keep track of the minimum distance, because it cannot rely on some global algorithm loop count.

Each time a message is found to indicate a lower distance at some processor, the global enumeration process is asked to increase its balance by three, but when instead no lower distance is found, the balance will be decreased by one. This way the enumeration process will find the routing table calculation for one processor to be complete simply by waiting for the balance to be equalised. It will address the next processor only when the previous one has finished its routing path determination, not because the algorithm asks for it, but to avoid message congestion and system deadlock.

This algorithm to fill the routing tables offers no optimisation other than distance calculation. A better algorithm should at least account for static traffic optimisation. Dynamic traffic optimisation, e.g. blocked or jammed route by-passing, would be an advanced system run time task.

5.7 Routine System Operation

As soon as all processors have completely calculated their routing tables, the system switches from initialisation mode to routine system operation: The first node shuts down all processes except the console, then newly starts the dispatcher process, the loader process, and the file server (figure 23). Finally it loads an arbitrary initial user process – named *init* – by means of normal process creation.

All other nodes shut down all processes as well, but only start one process – the scheduler (figure 24). Note that no scheduler is started on the first

- DISPATCHER CONSOLE LOADER	FILE SERVER	_	_	_
-----------------------------	----------------	---	---	---

figure 23: first node at routine system operation

	_						
SCHEDULER -	_	_	_	_	_	_	

figure 24: any other node entering routine system operation

node, because the dispatcher potentially will use up large amounts of the local memory to keep track of system wide resources.

Up to this point, only one node per processor was active. The remaining nodes are now fed with just the schedulers code, as described earlier (see section 5.4).

5.8 Dispatcher and Schedulers

With the commencement of routine system operation, a process may no longer be started on a statically fixed location, but invoking a new process is subject to system resource management. The initial user process *init* mentioned above is the first process to follow this rule.

The operating system not only needs to keep track of the resources, but also provides means to make use of them. For reasons of simplicity, one single process keeps track of all nodes and how many cores and how much memory they currently have at their disposal. This process – the dispatcher – will accept a request to start a new process, and redirect it to an appropriate node. As the nodes hardware is not capable of starting a new process by external request, another node local system operating process must perform this action instead. This is the reason why each node runs a seperate instance of the scheduler process. Additionally, the scheduler process is responsible for local memory management, and it will inform the dispatcher process each time the amount of node local free resources changes: After process creation, and when a process has stopped. For the latter reason, it is also the scheduler that will receive process exception messages informing it about process termination.

With the request to start a new process the dispatcher process accepts the port identification of the requesting process, information about the relative amount of memory the process shall use (the *dimension*), and the binary code stream (see [20160s2]). It calculates the absolute amount of memory to allocate for the process and sends all the information on to a selected scheduler. It then resets its resource record for the selected node to zero to avoid allocation conflicts.

The scheduler – receiving the allocation information and the binary code stream – will determine a contiguous memory block for the code and one for the data, write the code into the first block, and start process execution. Then it acknowledges process creation to the requesting process, so the latter can access the control channel. Finally the scheduler will recalculate the amount of available memory and send a report to the dispatcher, together with the number of available cores.

Clearly, in a system with a large number of cores, to burden a single dispatcher process with core selection would make it a bottleneck, so an optimised dispatcher should be implemented as a set of distributed processes.

5.9 Loader

When starting a new process, usually its code is not available in memory, but resides in an external file, which is known by name. Same as the dispatcher, the loader process serves the task of starting a new process, but instead of taking the binary code as input, it accepts the name of the process, asks the file server process to load the corresponding external file, and hands the executable binary code over to the dispatcher (figure 25).

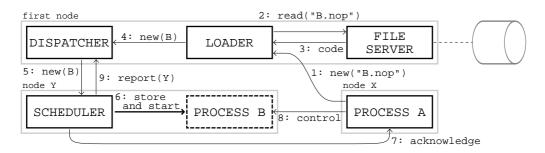


figure 25: process A starting a new process B by name

5.10 File Server

The file server process mandatorily resides on the first node, as it must make use of the peripheral line that is bidirectionally connected to an external service that provides access to files. Any process may connect to the first port of the file server and ask for a file to be read or written. Files are always read or written entirely, there is no provision to read partial files, or to change existing files. Reading or writing a file is done chunkwise, to avoid a single process blocking other processes to access files simultaneously. The protocol provided by the file server is similar to TFTP [1992ks], cut down to a minimum.

5.11 Console

Like the file server, the console process mandatorily resides on the first node in order to be able to access the two peripheral lines that constitute standard input and output of the system. The console process provides two ports, the first one for input, the second for output. Note, that data transmission on both ports is not byte oriented, but word wise, as is all data processing in the *Null Operand Parallel* processor system. For text message encoding, usually Unicode [2009uc] is used directly, and it is up to the invoking entity to convert data from and to the system to the character set that is handled by its terminal.

Any process may connect to the first console port to exclusively reserve input. It sends one word indicating its own port, to inform the console about where to send console input, but it must not end the connection, unless it intends to release the console input. Data sent to the second port will be transmitted to the output peripheral line.

5.12 User Processes

When routine system operation is entered, the last task performed by the boot process is to load an initial user process named *init*. In a simple system configuration *init* will refer to a shell to allow a user to directly control the system. In a more mature system design a separate initialisation program may launch various system services, but for test purposes a shell will do.

User processes may handle both input and output channels, the number of which may differ depending on the purpose of the process. E.g., a process designed to merge two streams would provide two input ports and one output port, while a process that is to duplicate a stream would provide one input port and two output ports.

It is up to the user process to announce the number of ports it provides. For the output ports, it is informed by the invoking process about the destination ports, and thus is able to connect to them autonomously. For the input ports it provides, it needs to inform the invoking process about these ports, and subsequently wait for incoming connections, because it is always the sending process that initiates the channel connection.

This negotiation is done on the control channel of the user process, and by convention, all users processes follow the same scheme (figure 26).

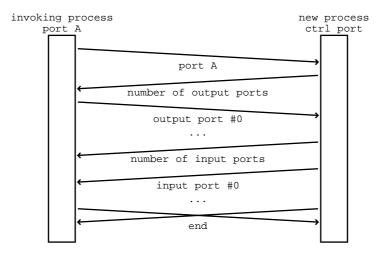


figure 26: initial port negotiation for a user process

5.13 User Shell

The user shell process is a simple text based command line interpreter (see page 54). It scans an input line for tokens, invokes the appropriate user processes, and makes them connect to the right input and output ports.

In a higly parallel channel based environment, a set of processes does not simply work on files, or in a linear pipeline, but needs to be connected in more complex networks. To avoid a notation for explicit port addressing, an approach is chosen similar to the *Polish Notation* – initially introduced in the 1920s by Lukasiewicz for the logic of propositions [1970j1]. No specific operator symbols, parantheses, or channel numbering are needed. Setting up a network of user processes is done by writing their names in the desired order. A stack of port identifiers is maintained internally to keep track of the order in which to connect user processes.

Each command line is evaluated according to the following syntax:

line ::= { term }
term ::= { command | string }
command ::= word [":" number]
string ::= """ { char } """ { """ { char } """ }

The line is evaluated from left to right. For each command, the corresponding process is started. During port negotiation with a single command process, the shell takes port identifiers from its internal stack one by one to provide the process with output ports as requested. Then, it accepts input port identifiers from the process, and pushes them onto the stack one by one.

At the beginning of a line, the stack is conceptually initialised to hold an infinite amount of identifiers for the second port of the console process, i.e. standard output. This way, any open output port will eventually be directed to the system console.

At the end of a line, supposed the stack is not empty – not counting the virtually infinite amount of console output ports – the top most port is connected to the console input, but any further ports remaining on the stack are immediatly fed with an end token¹³.

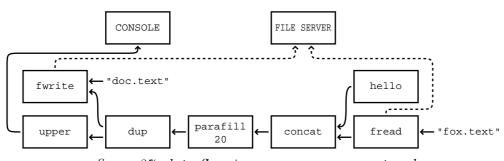
Regarding the notation, the processes in a command line are invoked from left to right, but data flow usually will be from right to left, because the shell will provide port identifiers from commands to the left as output ports, and pass input port identifiers on to commands to the right.

Immediate text data may be injected into an input port of a process by writing it in double quotation marks. The shell will transmit the text data into the corresponding input port of a command to the left promptly.

To start a process with a given *dimension* (see [2016os2] for details), the command may be followed by a colon and a number,

The stack order is fixed, so currently changing it would need an extra permutation process. Alternatively, a special notation could be introduced for direct stack permutation within the shell.

The following example reads a text from a file fox.text, concatenates it to the output of a *Hello World* program, formats it to keep a line width of at most 20 characters, then duplicates the result, storing one copy into a file named doc.text, and converting the other copy to upper case, finally



 $^{13}\mathrm{This}$ is equivalent to input from /dev/null with Unix.

figure 27: data flow in a user processes network

sending the result to console output (figure 27).

```
> upper fwrite "doc.text" dup parafill:20 concat hello fread "fox.text"
HELLO WORLD THE
QUICK BROWN FOX
JUMPS OVER THE LAZY
DOG.
```

5.14 Application Software

Eventually, the purpose of a computer is to be applied, so what is needed is application software. There is a vast amount of possible applications, which is not addressed here in detail at all. However, a minimum set of tools is necessary to check system functionality and to support basic data processing. Furthermore, a few representative yet simple examples are given to show applicability of the system designed.

Two tools serve system inspection. Both the dispatcher and the schedulers allow their state to be queried, so the tools only need to send a query to the system process and output the formatted result to the console. The first tool, qdisp, provides a table on the dispatchers state, e.g. for a four processor system:

> qdisp 8a: 0/0000/0000 8b: 0/0000/0000 8c: 7/3eff/0000 8d: 7/3eff/0000 9a: 7/3eff/0000 9b: 7/3eff/0000 9c: 7/3eff/0000 9d: 7/3eff/0000 10a: 7/3eff/0000 10b: 7/3eff/0000 10c: 7/3eff/0000 10d: 7/3eff/0000 11a: 7/3eff/0000 11b: 7/3eff/0000 11c: 7/3eff/0000 11d: 7/3eff/0000

Each row displays the resources of one node, with four nodes a through d per processor, which in turn are numbered from 8 upwards. The first value

is the number of available cores, the second and third are the size of the largest and the second largest contiguous memory area at the node. In the example, the first node 8a is not available, as it is used for system purposes (see 5.7), the second node 8b is blocked by its scheduler during startup of a process – which in this case is the inspection tool itself. All the other nodes are currently idle and empty.

The second tool, qsched, shows a schedulers state, by default the one on the node it is executing on, but by providing a dimension $4 \times processor + node$ – starting at 33, because processors deliberately are counted from 8, and the first node on the first processor does not provide a scheduler – a different node may be selected:

```
> qsched:33
8b: 0101..4000 t:9162d70d c:00029a43
1: 0101..01f0/01f1..03c7
2: 03c8..0457/0458..057a
3:
4:
5:
6:
7:
```

The first line indicates the nodes identity 8b, the memory range available for user process allocation, the nodes current time counter, and the total instruction cycle counter for the node. The subsequent table provides for each core that currently executes a process the constant pool range and the data pool range. Only seven cores are listed, as core number 0 is used by the scheduler. In the example, the first core executes the **init** process, which is the shell, and the second core executes the **gsched** tool.

Furthermore, a set of simple tools is available for basic data processing:

- **fread** reads a file name on the input channel, connects to the file server to read the file, and write the contents to the output channel.
- fwrite reads a file name on the first input channel, reads data from the second input channel, and connects to the file server to create and write the file.
- concat reads data from the first input channel and writes it to the output channel, then reads data from the second input channel and writes it to the output channel.

- dup reads data from the input channel, and writes it to both of its two output channels.
- buf:n is given a dimension, and maintains a ring buffer of that size, reading data from the input channel to the buffer, and writing data to the output channel from the buffer. When the buffer is full, it does not spill input data, but blocks instead until the output channel is accepting data again and consequently the buffer will no longer be full.
- merge reads data from two input channels, message-wise in random order according to availability, and writes all data to the output channel.
- nil writes an end token to the output channel. This is equivalent to input from /dev/null with Unix.
- absorb reads data from the input channel and discards it. This is equivalent to output to /dev/null with Unix.
- hello write a message to the output channel.
- upper reads data from the input channel, and writes it to the output channel, converting latin lower case letters to upper case (see page 53).
- parafill:n is given a dimension, the designated line length. Reads text from the input channel, and writes it to the output channel, reformatting blocks of text to limit and fill line length to the dimension, whenever possible.

Process instantiation may be different, depending on the needs. The system inspection and data processing tools listed above are loaded from an external file server and executed individually as requested, and they terminate when their work is done. This is comparable to system tools invoked from shell or script with Unix.

Other processes will be started once and never stop, providing a service. For utilisation, another process may connect to the control channel, provide configuration, write and read data, and so forth, thus occupying the service for a while. The difference is that freeing the service does not stop the process, but makes it available to the next user. This type of process occassionally is called a *daemon* – or a *driver*, when it provides access to hardware functionality such as peripherals. The **dispatcher** is an example for a *daemon*, while **file server** and **console** are examples for drivers.

Large programs will be implemented as a set of processes, invoking each other. It is a design question whether parts of the program will run permanently, as a daemon does, or be started anew whenever its functionality is needed. The latter is similar to a function called, but the difference is that starting the process anew involves loading the code to memory again. There is not much use in keeping the code around in memory elsewhere, as it does not make much of a difference whether the code is transferred from one memory location to another and then started, or it is kept running as a daemon.

When it comes to implementing large applications, a variety of strategies will be used to spread its functionality to processes as required. Two characteristic options are to replicate the key algorithm in an application and split up the data accordingly, to increase throughput, or to split up a complex algorithm into parts, see table 28. The first option is popular e.g. in image processing. The latter option may prove useful where processing of a data stream is time consuming, and it is possible to split up the algorithm into stages of a pipeline (multiple passes). Moreover, it is mandatory where the algorithm is to complex to fit the code into the local memory of a single processing unit. A problem solving algorithm may also be split into processes to investigate different solutions simultaneously (see [1994os], p.67f).

	simultaneous	sequential
homogenous	data split into chunks	multiple identical
(an algorithm replicated)	or tiles	passes
heterogenous	partial algorithms,	pipelined processing of
(an algorithm split)	possibly interacting	data

table 28: Multiple Process Algorithms

Implementation of a basic set of development tools is not required initially, but will be needed later (e.g. editor and compilers).

6 Results and Discussion

Combining the hardware sketch with a comprehensive dynamic operating system allows the operation of general purpose application software comparable to what contemporary work stations allow. It is obvious that approaches to software design will differ substantially from the usual practice with sharedmemory based systems. Software existing for the latter cannot be ported just as is, but it has to be rewritten, and in many cases it has to be completely rethought and redesigned: "The effective use of concurrency requires new algorithms designed to exploit this locality" (see [1987ms], p.36). Based on the assumption that up to 6000 cores fit onto a single chip, the system clock frequency at 400 MHz, shared among every eight cores, so each core is executing instructions at 50 MHz, a rough estimation for the performance could be 300000 MIPS per chip. Though this is just a theoretical upper bound, the design strongly suggests the presumption that performance per die area will be much higher than with existing shared-memory based systems, by the fact alone that the percentage of silicon lying dead and unused is much lower, as Hillis anticipated (see [1985dh], p.4).

For the sake of being able to achieve a first draft, lots of details have been simplified, and it is beyond question that a really usable system requires refinement in most respects and research in various fields. E.g., the instruction to start a new process takes five operands from the stack, which makes it difficult to implement in hardware.

6.1 Instruction Set Architecture

A zero address stack design has been chosen for three reasons: First, implementation of a simulator for it is quite simple, and second, code generation is straightforward for a compiler designed according to [1977nw]. Third, instruction encoding is compact compared to other architectures, with only eight bits for a full instruction. However, functionality per instruction is quite restricted, e.g. an extra instruction is needed for each operand that needs to be fetched from memory. To increase performance, the stack oriented design may be optimised (see e.g. [2015jb]), or another instruction set architecture may be chosen.

6.2 Hardware Supported Scheduling

With the current design, in each node, one core is used for the scheduler, to accept and start a new process, to detect its termination, and to report current allocation to the dispatcher. Adding associative circuitry (see [1996hv], p.53) to manage memory allocation, it is possible to replace the software implemented scheduler by supportive hardware, see figure 29, and figure 30 ¹⁴. The dispatcher then would send a process to start simply to some special port on the destination node. Both upon start and termination the core hardware would automatically send a resource indication message back to the dispatcher.

¹⁴The depicted circuitry allows allocation of a page by applying the desired core and page number, set ALLOC high, and then signal a high pulse on SET. Deallocation is done by applying the desired core number, set ALLOC low, and then signal a high pulse on SET.

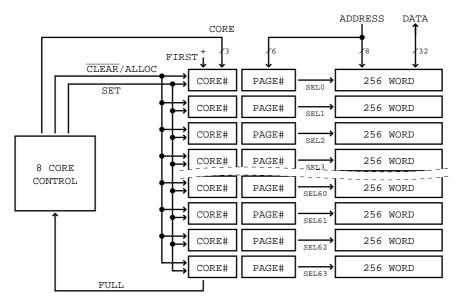


figure 29: hardware scheduler 64 page allocator overview

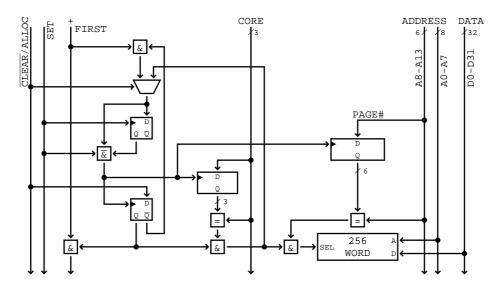


figure 30: hardware scheduler allocator single page detail

6.3 Channel Virtual Addressing

Like global memory is the main spatial resource on shared-memory systems, processing units are the main resource on the proposed design. When resources are exhausted, idle processes might be frozen, and their current state and memory content swapped out to some external memory. To be able to restore and continue execution of such a process, *channel virtual addressing*

[20130s] is needed, because no guarantee can be given for the process to be loaded back to the identical location where it resided earlier.

Other than for memory as a resource, which is flat and thus usually evenly accessible in whichever order it is arranged on a single node, access to channels depends on the network topology, and congestion may occur when too many messages are sent over a single route with no alternative available. Here, *channel virtual addressing* will also be useful when processes shall be relocated for traffic optimisation.

6.4 Efficient Message Routing

Efficient routing support is not trivial and needs to be addressed separately. It is subject to research for a long time – both algorithmic basics [1959ed] and theoretical background [1987pu] – and extensively (e.g. [2006pf]), and still is extensively being explored (e.g. [2009mf]). As with the work presented, algorithms should address general network topologies, initially unknown, and with the nodes initially unlabelled.

6.5 Endianness and Alignment

Direct byte addressing had been chosen, among others, to simplify text processing at a time when memory was expensive, and text was encoded at no more than some eight bit per character. Byte adressing causes memory addresses to be counted in bytes, while memory access is word-wise, today usually at 32 or 64 bits per word. Access to odd memory addresses causes data bus misalignment, which is handled either by shift adjusting data at handover from memory to registers, or by trapping. Once available in a register, the order in which contiguous bytes are located with respect to each other depends upon *endianness* of the system. As endianness differs on various systems, it is an everlasting wealth of confusion when it comes to transferring data or porting software from one system to another.

To allow non-latin text encoding, and to avoid trouble through text recoding, today text characters usually are encoded with more than eight bits. The Unicode Standard [2009uc] is the most universal approach, with characters represented by 21 bits each. The simplest encoding on a system with 32 bits per word is to store one character per word. The Unicode Standard refers to this representation as UTF-32. Popular representations like UTF-8 or UTF-16 are used to save space in data storage, but they can be taken for specific data compression formats. As such, where availability of storage is an issue, explicitely compressing text to UTF-8 may well be a solution. Both endianness confusion and misalignment annoyance vanish when byte addressing is given up (see [2016os1]).

6.6 Data Transmission Protocols

Peripheral drivers need to handle incoming and outgoing data streams in real time. The structure of the data is defined by the respective protocol standard, often specifying various interdependencies among part of a data stream, e.g. a checksum to depend on a subsection of the stream.

The receiver will have to evaluate such interdependencies, and the sender will have to generate data to fulfil them. A protocol may or may not be designed to allow streamed processing – like it is also used in cut-through switching – i.e. fulfilling all interdependencies with no more than a limited fixed amount of local memory. TCP [1981jp] e.g. transmits a checksum of the data that follows, so potentially a full data packet has to be stored temporarily, before the checksum can be calculated and the header sent, eventually followed by the data.

6.7 Memory Hierarchy

The single node von Neumann computer stores data in registers and memory. Magnetic drums and tapes, harddisk and floppy disks are added to provide permanent data storage. Cache memory has been introduced to compensate for slow DRAM access in relation to processor execution speed, and for the memory access bottleneck in a shared-memory system.

Replacing global DRAM by local fast memory renders cache memory superfluous. Large amounts of global memory are either superseded by large amounts of processing units, or by external memory resources, be it e.g. harddisk storage or DRAM based offline storage. The latter may be implemented by attaching DRAM to selected nodes. To simplify system application, all these external storage devices shall be controlled by a single universal type of interface. It is subject to further research whether driver processes are needed to provide access to the single storage devices, or whether there are more flexible approaches. Generally, it is recommended to keep the memory hierarchy as flat as possible and reduce the number of concepts.

6.8 Floating Point Support

Floating point arithmetic has been implemented in some of the first computers ever [1936kz]. Undoubtly, it is very useful for various categories of applications. On the other hand, the hardware demand to implement it is higher than with fixed point arithmetic (see e.g. [1989dm]). However, increasing the hardware demand for each single core would foil efforts to integrate as many as possible cores on a given die area. Further research is needed to investigate the question how floating point arithmetic can be supplied with as little hardware demand as possible. Possibly the trick is to split up calculation into simple and fast supportive instructions, and maybe just three or four of these would do:

- load floating point number into two registers E and M
- store two registers as a floating point number to memory
- normalise two numbers with respect to each other
- and maybe some shifted register addition instruction

6.9 Loading and Execution of Processes

Loading the code for a process usually takes time, the larger the process to run the longer. Once loaded, starting the process is constant effort, mostly neglectable. For applications that need to execute large numbers of processes, the overhead of loading and starting them can be substantial [2011hh].

Research is required to find, whether it is efficient to provide functionality by a daemon instead of loading and executing a process over and over again, or whether there are ways to avoid reloading process code, possibly by some method of caching it instead of just freeing its memory region.

6.10 Privilege Control

On shared-memory systems, privilege control is generally achieved by running lower priority processes with a modified instruction set to block privileged operations, and by blocking access to regions of physical memory that do not belong to the process. The latter is not relevant on a system with no shared memory, instead access to other processes and their data is only possible using channel communication. Consequently, privilege control would ask for restrictions in sending messages to specific ports. This may be achieved by marking each port with its level of access permissions, and then blocking incoming messages that originate from any port with lower privileges. To be able to detect the privileges of the sender, these would be part of the message header, as it is automatically composed at the senders outgoing port.

All this can be implemented purely in hardware – except setting the acceptance permissions for each single port, which is under decision of the privileged process.

When more than one user shall use the system and each user is to be restricted to its own group of processes, some kind of owner information would need to be added to each message header. Evaluation of the access restrictions at the receiver of a message then would be too complex to be implemented in hardware, but would require supportive software. Reading incoming data, identification and access permissions of the sender would be made available by the port hardware, so the receiver can decide on whether to accept the message or not.

6.11 Channel Congestion Avoidance

Due to the limited number of connecting channels from one node to another – especially where nodes are located on separate chips – it may happen that ongoing and unpaused transmissions between these nodes make use of all transmission lines available. When in this situation an additional connection between these nodes is required, while completion of the other transmissions depends on the additional connection to be established, the system faces deadlock. The obvious solution should be to fix the algorithm, so that unpaused transmissions do never depend on additional channels to be set up.

However, it is subject to further research to find whether this is always possible, or, if not so, whether it is necessary to avoid the deadlock by automatically pausing one of the ongoing transmissions to temporarily free a connecting channel. Some processes will be able to decide precisely when to pause the channel, because they have enough information on the expected timing of their output data. Other processes, namely those that receive a stream and propagate the processed data in turn, will not be able to figure out timing information.

A heuristic approach is to pause the channel after some timeout. Depending on the overall application design, this may work, but it may also cause the deadlock to be inverted. Another approach is to detect the incoming data being paused and pause the output stream accordingly. This latter solution would involve a simple extension to the hardware functionality for the detection of the *pause* token at the receiver.

7 Conclusion

Though much higher performance per die area could be achieved with the proposed system architecture, most of existing software is inherently nonparallel, designed for sequential execution on single node shared-memory systems. Memory allocation and process interoperation of the two approaches do not match at all, thus most software will simply not be portable, and consequently needs to be rewritten.

On the other hand, without software the best hardware will be of no use. Accumulated cost for a contemporary operating system kernel alone is given to be in the range of hundreds of years, suggesting that reaching the state of usability, which we are used to expect from a mature system, is quite high. This may be a reason why implementation of multinode systems has been avoided for long – but there is no way around: Rewriting all the software is expensive.

However, research and prototype implementation are to prove practicability of the basic design principles, they do not need to fulfill quantitative requirements in the first place.

As has been shown, it is and will be crucial to reduce complexity, to keep the design as simple as possible. Otherwise the highest possible number of cores per chip, and thus the highest possible performance per die area, will not be achieved.

Moreover, it has been shown that both co-design of hardware and software, and a conceptually simple operating system are doable. Such an approach may serve as a remedy for the memory bottleneck impasse.

Further research needs to focus on two topics: First, a simplified and more implementation-oriented design of a hardware prototype needs to be designed. To be able to realistically simulate parallelism, it should be implemented in hardware, e.g. FPGA based.

Second, basic algorithms and applications need to be designed and implemented to demonstrate use cases for the system. Both system related algorithms – e.g. for message routing – and application related algorithms – e.g. for storage allocation – are equally important for advanced deployment as well as the determination of more realistic numbers and values concerning the performance one can expect to attain.

Appendix: Source Code Examples

Upper Case (upper.gustl)

```
process upper(ctrl)
state data, break
port in, out
word i
start
  if not ctrl ? i then
                                 { port negotiation, see figure 26 }
    next break
  done
  ctrl := i
                                     { respond to invoking process }
                                          { number of output ports }
  ctrl ! 1
  if not ctrl ? i then
                              { receive output port identification }
    next break
  done
  out := i
  ctrl ! 1
                                           { number of input ports }
  ctrl ! in
                               { provide input port identification }
  ctrl ! end
                                           { port negotiation done }
  next data
on data \ in ? i:
                                 { read input word when available }
  if (i >= 'a') and (i <= 'z') then
    i := i + ('A' - 'a')
  done
  out ! i
                        { send processed word to output, may block }
  next data
                            { when end of input stream is detected }
on data \ in ? end:
  out ! end
                                { ... close output stream likewise }
  next break
on break:
stop
```

```
User Shell (ulsh.gustl)
process ulsh(ctrl)
state prompt, space, error, input, name, dimension, command,
  console, string, quote, break
port in, out, cmd
word n, c, d, i, p
word buf[80]
word tos
word stack[128]
procedure nilinput()
do
  while tos repeat #stack times
    tos := tos - 1
                                     { remove all ports from stack, }
    cmd := stack[tos]
                                     { ... closing each single port }
    cmd ! end
  done
return
start
  if not ctrl ? n then
                          { port negotiation, when ulsh is invoked }
    next break
  done
                                      { respond to invoking process }
  ctrl := n
                                           { number of output ports }
  ctrl ! 1
                              { receive output port identification }
  if not ctrl ? p then
    next break
                                 { for ulsh, output and input would }
  done
                         { ... usually be connected to the console }
  out := p
  ctrl ! 1
                                            { number of input ports }
  ctrl ! in
                                { provide input port identification }
  ctrl ! end
                                            { port negotiation done }
  tos := 0
                    { stack of port identifiers initially is empty }
  next prompt
on space:
                                          { if upon end of line ... }
  if c = 10 then
    if tos then
                               { the port stack is not empty, then }
      tos := tos - 1
                                            { ... take the top port }
      n := tos
      nilinput()
      cmd := stack[n]
                         { ... and read input from console into it }
      next console
```

```
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```

```
done
    next prompt
  done
  next input
on console \setminus in ? c:
  cmd ! c
  if c = 10 then
    cmd ! end
   next space
  done
  next console
on error:
 out ! 'f'
  out ! 'a'
  out ! 'u'
  out ! 'l'
  out ! 't'
  writeln(out)
 nilinput()
 next prompt
on prompt:
  out ! '>'
                                        { print some command prompt }
  out ! ' '
  out ! end
  next input
on input \ in ? c:
  if c = '"' then
                                  { some quoted string, see page 40 }
    n := 0
    if tos then
                                 { ... directly fed into next port, }
     tos := tos - 1
                                      { ... when stack is not empty }
      cmd := stack[tos]
    else
      cmd := p
                    { otherwise sent directly to standard output }
    done
    next string
  elseif c > ', ' then
    buf[0] := c
    n := 1
    next name
```

```
done
  next space
on name \setminus in ? c:
  d := 0
  if c = ':' then
                             { command name followed by a dimension }
    next dimension
  elseif c > ', ' then
    if n = #buf then
                                      { command name buffer overrun }
      next error
    done
    buf[n] := c
                              { collect characters into name buffer }
    n := n + 1
    next name
  done
  next command
on dimension \setminus in ? c:
  if c <= ' ' then
    next command
  elseif (c < '0') or (c > '9') then
    next error
  done
  d := d * 10 + c - '0'
  next dimension
on command:
  cmd := PORT_LOADER
                                { connect to system loader process, }
  cmd ! cmd
                                        { ... see figure 25, step 1 }
  cmd ! d
                                             { send dimension first, }
  i := 0
  repeat n times
    cmd ! buf[i]
                                { ... followed by command file name }
    i := i + 1
  done
  cmd ! '.'
  cmd ! 'n'
  cmd ! 'o'
  cmd ! 'p'
  cmd ! end
                                  { receive schedulers acknowledge, }
  if not cmd ? i then
   next error
                                        { ... see figure 25, step 7 }
  done
```

```
if cmd ? end then done
  if not i then
   next error
 done
 cmd := i
                  { connect to new process, see figure 25, step 8, }
 cmd ! cmd
                 { ... and provide it with own port identification }
  if not cmd ? n then { wait for desired number of output ports, }
   next error
                                                { ... see figure 26 }
 done
                         { provide new process with n output ports }
 repeat n times
                                     { ... if available from stack }
    if tos then
      tos := tos - 1
      cmd ! stack[tos]
    else
      cmd ! p
                     { otherwise let it connect to standard output }
   done
  done
  if not cmd ? n then
                                  { wait for number of input ports }
    next error
 done
 repeat n times
                            { receive n input port identifications }
    if not cmd ? i then
     next error
    done
    if tos <= (#stack - 1) then { ... and push them onto the stack }
      stack[tos] := i
                             { ... to be connected to output ports }
     tos := tos + 1
                                        { ... of further processes }
   done
 done
  if cmd ? end then done
                                { expected end of port negotiation }
  cmd ! end
  if tos > (#stack - 1) then
                                              { port stack overflow }
   next error
 done
 next space
on string \setminus in ? c:
 if c = '"' then
   next quote
 done
  cmd ! c
 next string
```

```
on quote \ in ? c:
  if c = '"' then
    cmd ! '"'
    next string
  done
  cmd ! end
  next space
on input, name, dimension, console, string, quote \setminus in ? end:
  out ! 's'
  out ! 't'
 out ! 'o'
  out ! 'p'
  writeln(out)
  next break
on break:
stop
```

Appendix: Acronyms

CMOS	Complementary Metal-Oxide Semiconductor
CPU	Central Processing Unit
CSP	communicating sequential processes
DMA	Direct Memory Access
DRAM	Dynamic RAM
DSM	Distributed Shared Memory
DSP	Digital Signal Processor
EUV	Extreme Ultra Violet
FPGA	Field Programmable Gate Array
FPU	Floating Point Unit
GPIO	General Purpose Input Output
GPU	Graphics Proocessing Unit
I/O	Input and Output
ÍP	Internet Protocol
MIMD	Multiple-Instruction stream Multiple-Data stream
MIPS	Million Instructions Per Second
MMU	Memory Management Unit
$\mu \mathrm{P}$	Micro Processor
MPU	Memory Protection Unit
NoRMA	No Remote Memory Access
NUMA	Non-Uniform Memory Access
PHY	Physical Layer Circuitry
RAM	Random Access Memory
ROM	Read-Only Memory
SIMD	Single-Instruction stream Multiple-Data stream
SISD	Single-Instruction stream Single-Data stream
SMP	Symmetric Multi Processing
SRAM	Static RAM
TCP	Transmission Control Protocol
TFTP	Trivial File Transfer Protocol
UART	Universal Asynchronous Receiver Transmitter
UDP	User Datagram Protocol
UTF	Unicode Transformation Format
VLIW	Very Long Instruction Word
VLSI	Very Large Scale Integration

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